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## **DVS 723/DVS 823: Urban and Regional Development**

**(Honours/Masters Course)**

**Second Semester 2016**

**Lecturer:** Razack Karriem

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### **Course Overview:**

For the first time in human history more people live in urban centres. The urbanization of the world has been driven in large measure by rapid rural to urban migration in countries of the Global South. This rapid rate of urban growth has meant that many municipal, provincial, and national governments have struggled or failed to provide basic services such as clean water, sanitation, schools, and housing to city residents. In addition, countries have struggled to meet the socio-economic needs of the rural populace. To better understand these developmental challenges faced by governments, this course introduces students to the theory and practice of contemporary urban and rural transformations. Furthermore, it investigates the political and economic factors that drive urbanization processes, and critically evaluates the implications of these processes for both urban and rural development in South Africa and other parts of the 'Third World'. Finally, the course exposes students to case study material that critically investigates why urban and rural development policies and projects in some countries have succeeded or failed, and assesses what lessons, if any, these cases hold for South Africa and other developing countries.

### **The Aims of the Course are to:**

- Understand some of the key theories and debates in the field of urban and rural development
- Investigate South African urbanization and rural development processes and spatial transformations in the post-apartheid era, focusing on a critical appraisal of the government's rural development and urban development programmes.

- Critically understand and engage in the debate of current policy frameworks in relation to urban and rural development in South Africa and other developing countries
- Examine why certain urban and rural development initiatives succeed or fail through a critical examination of case studies.

### **Course Requirements:**

The course will operate as a seminar, which means that attendance and active participation in class is required.

**One Page Weekly Response:** To facilitate class discussion, a **1 page** (or more) **response** to the weekly readings must be posted on Ikamva **no later than midnight** on the day before class. Over the course of the semester, each student will submit 4 one-page weekly responses: you can choose a weekly theme you find most interesting. The one-page responses should cover the following questions:

- What are the principal debates in the readings?
- What did you find most interesting in the readings?
- What is your critique of the readings?

**Class Presentations:** Over the course of the semester, you will lead **one** class presentation (10-15 minutes) on a weekly theme of your choice. In your presentation, you must **critically** evaluate the week's readings, and pose a set of questions to facilitate class discussion.

**Short essays:** You will write **two short essays** (one rural, one urban) of 5 pages each. Critically evaluate the readings in a rural (e.g. Rural Development or Sustainable rural Livelihoods Approach) or urban (e.g. Urban Policy in South Africa, Housing in Post-Apartheid South Africa, or social movement struggles) session.

**First Short Essay Due (on Rural Development):**                      **September 6, 2016**

**Second Short Essay Due (on Urban Development):**                      **October 12, 2016**

**Final Paper:** Draw on the weekly themes and readings in the syllabus to formulate a research topic that covers some aspect of urban or rural development at the city, country, global, social movement or other level. **Due Date: November 7, 2016.** Please submit a hard copy of the paper to Priscilla Kippie and email me an electronic copy. No extension will be granted. Five percent will be subtracted for every day that an essay is overdue.

**Honours students:**    will write a 12-15 final page paper (including bibliography).

**Masters students:**    will write a 15-20 final page paper (including bibliography).

## **Student Assessment/Composition of Final Grade:**

### **Year Mark = 50%:**

- Class attendance and participation: 10%  
(Including 5 one-page response papers)
- Individual class presentation: 10%
- Two short essays (15% per essay): 30%

**Final Exam: 50%**

**Final Mark (100%) = (Year Mark + Final Exam)/2 = 100%**

**Plagiarism.** Please note that plagiarism constitutes cheating. Proven cases of plagiarism will automatically result in a zero mark being awarded for that assessment **and** may result in further disciplinary action. Please familiarise yourself with what constitutes plagiarism. Turnitin is an excellent tool to help you address concerns around plagiarism. All course essays **MUST** have a Turnitin summary attached.

**Referencing:** Please use the Harvard system of referencing in all your papers in this course.

**Cellphone policy:** all cellphones **MUST** be switched for the duration of the class!

## **Class Sessions**

### **Session 1 (Wednesday, July 27): Introduction**

Introduction to the field of Urban and Rural Development

**August 3, 2016** – no class: Public Holiday for Local Government Elections

### **Session 2 - (Wednesday, August 10): What is Rural Development?**

Ellis, F. and S. Biggs. (2001). Evolving Themes in Rural Development 1950s-2000s. *Development Policy Review*, 19(4):437–448.

Tacoli, C. (2003). The links between urban and rural development. *Environment and Urbanization*, 15(1): 3-12.

van der Ploeg et al. (2000). Rural Development: From Practices and Policies towards Theory. *Sociologia Ruralis*, 40(4): 391-408.

#### ***Additional Readings:***

Ashley, C. and S. Maxwell. (2001). Rethinking Rural Development. *Development Policy Review*, 19(4): 395-425.

Kay, C. (2009). Development strategies and rural development: exploring synergies, eradicating poverty. *Journal of Peasant Studies*, 36(1): 103-137.

National Development Plan (2011): Chapter 6 - An Integrated and Inclusive Rural Economy  
[www.npconline.co.za/pebble.asp?relid=25](http://www.npconline.co.za/pebble.asp?relid=25)

Department of Rural Development and Land Reform. (2012). *Comprehensive Rural Development Programme (CRDP)*. Pretoria. Available: <http://www.ruraldevelopment.gov.za>.

### **Session 3 - (Wednesday, August 17): The Sustainable Rural Livelihoods Approach**

#### **Presenters: Metron Ziga and Mohammed Sannousi**

Scoones, I. (1998). *Sustainable Rural Livelihoods: A Framework for Analysis*. Institute of Development Studies. Working Paper 72.

Carr, E. (2013). Livelihoods as Intimate Government: Reframing the Logic of Livelihoods for Development. *Third World Quarterly*, 34(1): 77-108.

Allison, E. and B. Horemans. (2006). Putting the Principles of the Sustainable Livelihoods Approach into Fisheries Development Policy and Practice. *Marine Policy*, 30: 757-766.

Sanderson, D. (2000). Cities, Disasters and Livelihoods. *Environment and Urbanization*, 12(2): 93-102.

***Additional Readings:***

Haan, L. and A. Zoomers. (2005). Exploring the Frontiers of Livelihoods Research. *Development and Change*, 36(1): 27-47.

Scoones, I. (2009). Livelihoods perspectives and rural development. *Journal of Peasant Studies*, 36(1): 171-196.

Chambers, R. & Conway, G. (1992). *Sustainable Rural Livelihoods: practical concepts for 21<sup>st</sup> Century*. IDS Discussion Paper 296. Brighton: IDS.

**Session 4 (Wednesday, August 24):                      Land Reform and Smallholder Agriculture**

**Presenters: Clara Brackhill and Steve Fielies**

Cousins, B. (2007). Agrarian Reform and the ‘two economies’: transforming South Africa’s countryside. In L. Ntsebeza and R. Hall (eds), *The Land Question in South Africa: The Challenge of Transformation and Redistribution*. HSRC Press: Cape Town.

Hall, R. (2010). Two Cycles of Land Policy in South Africa: Tracing the Contours. In W. Anseeuw and C. Alden (eds), *The Struggle over Land in Africa: Conflicts, Politics, and Change*. HSRC Press: Cape Town.

Aliber, M. and R. Hall. (2012). Support for smallholder farmers in South Africa: Challenges of Scale and Strategy. *Development Southern Africa*, 29(4): 548-562.

Pretty, J. (2009). Can Ecological Agriculture Feed Nine Billion People? *Monthly Review*, 61(6): 46-58.

***Additional Readings:***

Scoones et al. (2012). Livelihoods after Land Reform in Zimbabwe: Understanding Processes of Rural Differentiation. *Journal of Agrarian Change*, 12(4): 503-527.

IPC-IG and WFP. (2013). *Structured Demand and Smallholder farmers in Brazil: the case of PAA and PNAE*. International Policy Centre for Inclusive Growth (IPC-IG): Brasilia.

Shiferaw, B., J. Hellin and G. Muricho. (2011). Improving market access and agricultural productivity growth in Africa: what role for producer organizations and collective action institutions? *Food Security*, 3:475–489.

**Session 5 -(Wednesday, August 31):                      Urban Agriculture and Food Security**

**Presenters: Pozisa Zintsa and Meredith Anderson and Prudence**

Crush, J., A. Hovorka, and. Tevera. (2011). Food Security in Southern African Cities: The Place of Urban Agriculture. *Progress in Development Studies*, 11(4): 285-305.

De Zeeuw, H, R. Van Veenhuizen, and M. Dubbeling. (2011). The Role of Urban Agriculture in Building Resilient Cities in Developing Countries. *Journal of Agricultural Science*, 149: 153-163.

Altieri et al. (1999). The Greening of the “Barrios”: Urban Agriculture for Security in Cuba. *Agriculture and Human Values*, 16: 131-140

Mkwambisi, D., E. Fraser, and A. Dougil. (2011). Urban Agriculture and Poverty Reduction: Evaluating how Food Production in Cities Contributes to Food Security, Employment and Income in Malawi. *Journal of International Development*, 23: 181-203.

Dixon et al. (2009). Functional Foods and Urban Agriculture: Two Responses to Climate Change-related Food Insecurity. *NSW Public Health Bulletin*, 20(1-2): 14-18.

#### ***Additional Readings:***

Crush, J. and G.B. Frayne. (2011). Urban Food Insecurity and the New International Food Security agenda. *Development Southern Africa*, 28(4): 527-544.

Kortright, R. and S. Wakefield. (2011). Edible Backyards: A Qualitative Study of Household Growing and its Contribution to Food Security. *Agriculture and Human Values*, 28: 39-53.

Poulsen et al. (2015). A Systematic Review of Urban Agriculture and Food Security Impacts in Low-income Countries. *Food Policy*, 55: 131-146.

**Wednesday, September 7 --- No Class. Campus break**

### **Urbanization and Development**

**Session 6 – (Wednesday, September 14):                      Cities and Urbanization**

**Presenter: Michelle**

Davis, M. (2004). *Planet of Slums: Urban Involution and the Informal Proletariat*. *New Left Review*, 24: 5-27.

Roy, A. (2009). Why India Cannot Plan its Cities: Informality, Insurgence and the Idiom of Urbanization. *Planning Theory*, 8(1): 76-87.

**Presenter: Bridget Gilmore**

Todes et al. (2010). Contemporary South African Urbanization Dynamics. *Urban Forum*, 21: 331-348.

McGranahan, G., D. Schensul, and G. Singh. (2016). Inclusive Urbanization: Can the 2030 Agenda be Delivered Without It? *Environment and Urbanization*, 1-22.

**Presenter: Chirstone**

Sheppard et al. (2015). Introduction: Urban Revolutions in the Age of Global Urbanism. *Urban Studies*, 52(11): 1947-1961.

Turok, I. (2013). Transforming South Africa's Divided Cities: Can Devolution Help? *International Planning Studies*, 18(2): 168-187.

***Additional Readings:***

Parnell, S. and R. Walawege. (2011). Sub-Saharan African Urbanization and Global Environmental Change. *Global Environmental Change*, 21(1):12-20.

Simon, D., McGregor, D. and K. Nsiah-Gyabaah (2004): The changing urban-rural interface of African cities: definitional issues and an application to Kumasi, Ghana. *Environment and Urbanization*, 16(2): 235-247.

**Session 7 –(Wednesday, September 21):                      Urban Policy in South Africa**

**Presenters: Amber, Michaela, and Abdul Karim**

Pillay, U. (2008). Urban Policy in Post-Apartheid South Africa: Context, Evolution and Future Directions. *Urban Forum*, 19:109–132.

Turok, I. (2015). Turning the Tide? The Emergence of National Urban Policies in Africa. *Journal of Contemporary African Studies*, 33(3): 348-369.

Caldeira, T. and Holston, J. (2015). Participatory Urban Planning in Brazil. *Urban Studies*, 52(11): 2001-2017.

Valenca, M. and M. Bonates. (2010). The Trajectory of Social Housing Policy in Brazil. From the National Housing Bank to the Ministry of Cities. *Habitat International*, 34: 165-173.

***Additional Readings:***

Rolnik, R. (2011). Democracy on the Edge: Limits and Possibilities in the implementation of the Urban Reform Agenda in Brazil. *International Journal of Urban and Regional Research*, 35(2): 239-255.

Turok, I. and S. Parnell. (2009). Reshaping Cities, Rebuilding Nations: The Role of National Urban Policies. *Urban Forum*, 20:157–174.

**Session 8 –(Wednesday, September 28):    Housing in Post-Apartheid South Africa**

**Presenters: Thuliswa Mazikwana, Ayabulela Zisile and Tongesai**

Goebel, A. (2007). Sustainable urban development? Low-cost housing challenges in South Africa. *Habitat International*, 31: 291-302.

Landman, K. and M. Napier. (2010). Waiting for a house or building your own? Reconsidering state provision, aided and unaided self-help in South Africa. *Habitat International*, 34(3): 299–305.

Lemanski, C. (2009). Augmented informality: South Africa's backyard dwellings as a by-product of formal housing policies. *Habitat International*, 33(4): 472–484.

Patel, K. (2013). A Successful Slum Upgrade in Durban: A Case of Formal Change and Informal Continuity. *Habitat International*, 40: 211-217.

### ***Additional Readings:***

Bradlow, B., J. Bolnick., and C. Shearing. (2011). Housing, Institutions, Money: The Failures and Promises of Human Settlements Policy and Practice in South Africa. *Environment and Urbanization*, 23(1): 267-275.

Pithouse, R. (2009). A progressive policy without progressive politics: lessons from the failure to implement “Breaking New Ground.” *Town and Regional Planning*, 54: 1-14.

Newton, C. (2012). Victoria Mxenge: A Story about More than Women Building Their Community. *Urban Forum*, 23:197–207.

Bredenoord, J. and P. Lindert. (2010). Pro-poor housing policies: Rethinking the potential of assisted self-help housing. *Habitat International*, 34(3):278-287.

South Africa Department of Housing. 2004. *Breaking New Ground: A Comprehensive Plan for the Development of Sustainable Human Settlements*. Pretoria: National Department of Housing. [www.nwpg.gov.za/DDLG&TA/acts/Breaking%20New%20Grounds.pdf](http://www.nwpg.gov.za/DDLG&TA/acts/Breaking%20New%20Grounds.pdf)

National Development Plan (2011): Chapter 8 – Transforming Human Settlements  
[www.npconline.co.za/pebble.asp?relid=25](http://www.npconline.co.za/pebble.asp?relid=25)

## **Sessions 9 & 10 – (Wednesday, September 28): Socio-Spatial Segregation in the City I & II**

**We will start class at 9.30am**

**Presenters: Laura, Aziz, Michelene, Lebohang and Shafeeqah**

Lemanski, C. (2007). Global Cities in the South: Deepening Social and Spatial Polarisation in Cape Town. *Cities*, 24(6): 448-461.

HERNÁNDEZ-MEDINA, E. (2010). Social Inclusion through Participation: the Case of the Participatory Budget in São Paulo. *International Journal of Urban and Regional Research*, 34(3): 512–532.

Miraftab, F. (2007). Governing Post Apartheid Spatiality: Implementing City Improvement Districts in Cape Town. *Antipode*, 39(4): 602-626.



Roy, A. (2011). Slumdog Cities: Rethinking Subaltern Urbanism. *International Journal of Urban and Regional Research*, 35(2): 223-238.

Gandy, M. (2006). Planning, Anti-planning and the Infrastructure Crisis Facing Metropolitan Lagos. *Urban Studies*, 43(2): 2371-2396.

### ***Additional Readings:***

Lemanski, C. (2014). Hybrid Gentrification in South Africa: Theorising Across Southern and Northern Cities. *Urban Studies*, 51(14): 2943-2960.

Visser, G. and N. Kotze. (2008). The State and New-build Gentrification in Central Cape Town, South Africa. *Urban Studies*, 45(12): 2565-2593.

Wenz, L. (2012). Changing Tune in Woodstock: Creative Industries and Local Urban Development in Cape Town, South Africa. *International Journal of Community Research and Engagement*, 5: 16-34.

Wenz, L. (2013). Woodstock's Urban Renewal: Much More at Stake than the Loss of Parking. *Daily Maverick*, March 15.

Didier, S., E. Peyroux, and M. Morange. (2012). The Spreading of the City Improvement District Model in Johannesburg and Cape Town: Urban Regeneration and the Neoliberal Agenda in South Africa. *International Journal of Urban and Regional Research*, 36(5): 915-935.

## **Session 11 and 12 – (Wednesday, October 5): The State of Service Delivery: A Better Life for All?**

### **Morning Session: 10-12**

#### **Presenters:**

**Malebo and Zona Ndondo**

Atkinson, D. (2007). Taking to the streets: has developmental local government failed in South Africa. In S. Buhlungu, J. Daniel, R. Southall and J. Lutchmann (eds), *State of the Nation South Africa 2007*. HSRC Press: Cape Town.

Hemson, D. and K. Owusu-Ampomah. (2005). A better life for all? Service Delivery and poverty alleviation. In R. Southall, D. Hemson, K. Owusu-Ampomah, J. Daniel, and J. Lutchman (eds), *State of the Nation: South Africa 2004-2005*. HSRC Press: Cape Town.

Karriem, A. (2009). The Rise and Transformation of the Brazilian Landless Movement into a Counter-hegemonic Political Actor: A Gramscian Analysis. *Geoforum*, 40(3): 316-325.

**(Lecture:** I will lecture on the Brazilian Landless Movement's mobilization for land reform and rural development).

***Additional Readings:***

Dawson, H. (2014). Patronage from Below: Political Unrest in an Informal Settlement in South Africa. *African Affairs*, 113(453): 518-539.

**Session 12: Afternoon Session: 2-4 pm**

**Social Movement Struggles for the Right to the City and Countryside**

**Presenters: Nangamso, Rupayakiso, and Kholisa**

Miraftab, F. and S.Wills. (2005). Insurgency and Spaces of Active Citizenship: The Story of Western Cape Anti-Eviction Campaign in South Africa. *Journal of Planning Education and Research*, 25(2): 200–217.

Mottiar, S. (2013). From ‘Popcorn’ to ‘Occupy’: Protest in Durban, South Africa. *Development and Change*, 44(3): 603-619.

Mitlin, D. (2013). Endowments, Entitlements, and Capabilities – What Urban Social Movements Offer to Poverty Reduction. *European Journal of Development Research*, 25(1): 44-59.

***Additional Readings:***

Alexander, P. (2010). Rebellion of the poor: South Africa’s service delivery protests – a preliminary analysis’. *Review of African Political Economy*, 37(123): 25–40.

Harvey, D. (2008). The Right to the City. *New Left Review*, No. 53: 323-40.

Earle, L. (2012). From Insurgent to Transgressive Citizenship: Housing Social Movements and the Politics of Rights in Sao Paulo. *Journal of Latin American Studies*, 44: 97-126.

Gibson, N. (2007). Zabalaza, Unfinished Struggles against Apartheid: The Shackdwellers' Movement in Durban. *Socialism and Democracy*, 21(3).

McCann, Eugene. (2002). Space, Citizenship and the Right to the City: a brief overview, *GeoJournal*, 58(2-3): 77-79.

**Course Evaluation**